

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

2.  Applicant claims small entity status.  
See 37 CFR 1.27. 2.

3.  Specification [ Total Pages 12 ]  
(preferred arrangement set forth below)  
- Descriptive title of the invention  
- Cross Reference to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table,  
or a computer program listing appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure

4.  Drawing(s) (35 U.S.C. 113) [ Total Sheets 3 ]

5. Oath or Declaration [ Total Pages 1 ]

a.  Newly executed (original or copy)  
Copy from a prior application (37 CFR 1.63 (d))  
b.  (for continuation/divisional with Box 18 completed)  
i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).

6.  Application Data Sheet. See 37 CFR 1.76

Attorney Docket No.	H0004353-US
First Inventor	Robert J. Saccomanno
Title	Coupling a Tapered Optical Element to an Optical Fiber
Express Mail Label No.	ET907439799US

ADDRESS TO: Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Arlington, VA 22313-1450

7.  CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

a.  Computer Readable Form (CRF)

b. Specification Sequence Listing on:  
i.  CD-ROM or CD-R (2 copies); or  
ii.  paper

c.  Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & document(s))

10.  37 CFR 3.73(b) Statement  Power of  
(when there is an assignee)  Attorney

11.  English Translation Document (if applicable)

12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS  
Citations

13.  Preliminary Amendment

14.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

16.  Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.

17.  Other:  Cover Sheet

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,  
or in an Application Data Sheet under 37 CFR 1.76: Continuation  Divisional  Continuation-in-part (CIP) of prior application No.:

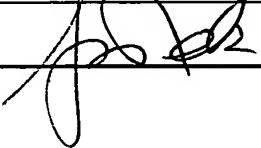
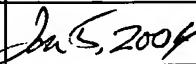
Prior application information: Examiner: Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under  
Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.  
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

 Customer Number: \_\_\_\_\_ or  Correspondence address below

Name	James W. Falk			
Address	Honeywell International Inc., Law Department, AB2			
	Post Office Box 2245			
City	Morristown	State	NJ	Zip Code
Country	US	Telephone	(201) 393-2065	Fax

Name (Print/Type)	James W. Falk	Registration No. (Attorney/Agent)	16,154
Signature			Date 

010604  
17157 U.S.ATTORNEY DOCKET NO.  
H0004353-US

APPLICATION NO.

Honeywell International Inc.  
Substitute Form SB/17

# FEE TRANSMITTAL for FY 2004

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 810 -)

Complete if Known	
Application Number	
Filing Date	January 5, 2004
First Named Inventor	Robert J. Saccomanno
Examiner Name	--
Art Unit	--
Attorney Docket No.	H0004353-US

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None
 Deposit Account:

Deposit Account Number 01-1125

Deposit Account Name Honeywell International

## The Commissioner is authorized to: (check all that apply)

 Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	770 -		
1002 340	2002 170	Design filing fee	- 0 -		
1003 530	2003 265	Plant filing fee	- 0 -		
1004 770	2004 385	Reissue filing fee	- 0 -		
1005 160	2005 80	Provisional filing fee	- 0 -		
SUBTOTAL (1) (\$)		770 -			

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Independent Claims	Extra Claims	Fee from below	Fee Paid
15	-20** =	--	x --	= - 0 -
3	- 3** =	0	x --	= - 0 -

## Multiple Dependent

Large Entity	Small Entity	Fee Description
Fee Code (\$)	Fee Code (\$)	
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$)		- 0 -

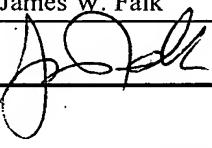
\*\*or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	- 0 -		
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	- 0 -		
1053 130	1053 130	Non-English specification	- 0 -		
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	- 0 -		
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	- 0 -		
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	- 0 -		
1251 110	2251 55	Extension for reply within first month	- 0 -		
1252 420	2252 210	Extension for reply within second month	- 0 -		
1253 950	2253 475	Extension for reply within third month	- 0 -		
1254 1,480	2254 740	Extension for reply within fourth month	- 0 -		
1255 2,010	2255 1,005	Extension for reply within fifth month	- 0 -		
1401 330	2401 165	Notice of Appeal	- 0 -		
1402 330	2402 165	Filing a brief in support of an appeal	- 0 -		
1403 290	2403 145	Request for oral hearing	- 0 -		
1451 1,510	1451 1,510	Petition to institute a public use proceeding	- 0 -		
1452 110	2452 55	Petition to revive - unavoidable	- 0 -		
1453 1,330	2453 665	Petition to revive - unintentional	- 0 -		
1501 1,330	2501 665	Utility issue fee (or reissue)	- 0 -		
1502 480	2502 240	Design issue fee	- 0 -		
1503 640	2503 320	Plant issue fee	- 0 -		
1460 130	1460 130	Petitions to the Commissioner	- 0 -		
1807 50	1807 50	Processing fee for Provisional Applications	- 0 -		
1806 180	1806 180	Submission of Information Disclosure Stmt	- 0 -		
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	40 -		
1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))	- 0 -		
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	- 0 -		
1801 770	2801 385	Request for Continued Examination (RCE)	- 0 -		
1802 900	1802 900	Request for expedited examination of a design application	- 0 -		
Other fee (specify)					- 0 -
*Reduced by Basic Filing Fee Paid					- 0 -
SUBTOTAL (3) (\$)		40 -			

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	James W. Falk	Registration No (Attorney/Agent)	16,154	Telephone	(201) 393-2065
Signature				Date	Jan 5, 2004

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
APPLICATION FOR UNITED STATES PATENT

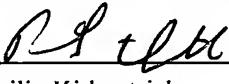
**COUPLING A TAPERED OPTICAL ELEMENT TO AN OPTICAL FIBER**

INVENTORS

Robert J. Saccomanno (US Citizen)  
22 Glenwood Drive  
Montville, NJ 07045

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APPROVED BY:

  
Philip Kirkpatrick  
DSES Teterboro  
Patent Review Committee

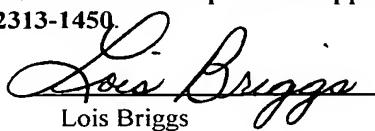
05 Jan 2004

Date

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Certificate of Mailing under 37 C.F.R. § 1.8.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: **Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450**

  
Lois Briggs

1/6/04

Date

**Cover Sheet/ Certificate of Mailing**